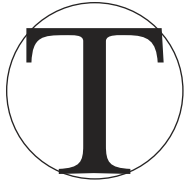




FEDERAL
COMPLIANCE



THE 1992 AND 1998 AMENDMENTS to the Higher Education Act, and subsequent changes to federal regulations by the U.S. Department of Education, put into law several requirements for accrediting agencies that seek federal recognition. To satisfy these new commission policies, the University of Wisconsin–Milwaukee includes the following “Federal Compliance” section as part of our Accreditation Self-Study Report.

Credits, Program Length, and Tuition

Chapter 36 of the Wisconsin Statutes outlines procedures for approving or rejecting changes affecting all UW–System campuses.

The University of Wisconsin–Milwaukee’s Academic Policy Committee proposes each annual academic calendar to the Faculty Senate, which approves the calendar. The academic calendar includes the traditional fall (September–December) and spring (January–May) semesters along with two shorter periods of instruction. The University offers a three-week winter intersession, “UWinteriM,” and summer sessions of three, four, six, eight, and 12 week periods during the months of June, July, and August.

The minimum number of credits required for graduation is 120; some programs require more. Only one program requires more than 130. While program length, credit, and other requirements vary, an example of the minimum 120 degree credits required is a Bachelor of Science degree, which must include at least 36 credits in upper-division courses offered by the College of Letters and Science.

Differential Tuition

Tuition is set by the Board of Regents. Segregated fees are charged in addition to tuition and, depending on the fee, are approved by the Student Association, the UWM administration, and the Board of Regents.

In its study of the UW System in the 21st Century, the Board of Regents approved differential tuition rates for unique programs with strong demand and/or special operating costs. In May 1999, the Board of Regents approved guidelines outlining student involvement in differential tuition initiatives with Regent Policy #99-2 Student Involvement in Differential Tuition Initiatives. Since 1999, differential tuition has become more widespread across the UW System, requiring separate definitions and procedures for program specific and institution-wide differential tuition.

At the February 4, 2005 Board of Regents meeting, UWM's proposal for implementing differential tuition in four schools and colleges was approved. Details of that proposal are posted at: <http://www.uwsa.edu/bor/agenda/2004/february.pdf>.

UWM directs differential tuition to improving learning environments. Four UWM schools have used graduating senior survey data to develop action strategies that students help to fund through differential tuition: the College of Engineering and Applied Science, School of Business Administration, the College of Nursing, and the Peck School of the Arts. At the graduate level, differential tuition is in place for business and health science master's programs.

The tuition rates for Undergraduate and Graduate Students for Spring 2005 are listed below. For complete information regarding the Fee-Tuition Schedule access the web at www.bfs.uwm.edu/fees/.

Figure 42. Undergraduate Tuition Schedule – Spring 2005

No. of Credits	Classes On Campus			Classes Off Campus			Audit		
	Resident	Non-Res	Minnesota	Resident	Non-Res	Minnesota	Resident	Non-Res	Minnesota
1	435.67	967.00	501.29	214.90	745.42	279.71	64.00	373.00	84.00
2	667.89	1,730.55	799.13	428.18	1,490.84	559.42	128.00	746.00	168.00
3	900.11	2,494.10	1,096.97	642.27	2,236.26	839.13	192.00	1,119.00	252.00
4	1,132.33	3,257.65	1,394.81	856.36	2,981.68	1,118.84	256.00	1,492.00	336.00
5	1,364.55	4,021.20	1,692.65	1,070.45	3,727.10	1,398.55	320.00	1,865.00	420.00
6	1,596.77	4,784.75	1,990.49	1,284.54	4,472.52	1,678.26	384.00	2,238.00	504.00
7	1,828.99	5,548.30	2,288.33	1,498.63	5,217.94	1,957.97	448.00	2,611.00	588.00
8	2,061.22	6,311.86	2,586.18	1,712.72	5,963.36	2,237.68	512.00	2,984.00	672.00
9	2,275.31	7,057.28	2,865.89	1,926.81	6,708.78	2,517.39	576.00	3,357.00	756.00
10	2,489.40	7,802.70	3,145.60	2,140.90	7,454.20	2,797.10	640.00	3,730.00	840.00
11	2,703.49	8,548.12	3,425.31	2,354.99	8,199.62	3,076.81	704.00	4,103.00	924.00
12-18	2,917.58	9,293.54	3,705.02	2,569.08	8,945.04	3,356.52	768.00	4,476.00	1,008.00

More than 18 cr add \$214.09/cr resident, \$745.42/cr non-resident, \$279.70/cr Minnesota

Add \$64.00/cr resident, \$373.00/cr non-resident, \$84.00/cr Minnesota

Max of full-time off-campus

Figure 43. Graduate Tuition Schedule – Spring 2005

No. of Credits	Classes On Campus			Classes Off Campus			Audit		
	Resident	Non-Res	Minnesota	Resident	Non-Res	Minnesota	Resident	Non-Res	Minnesota
1	686.21	1,584.08	732.46	464.63	1,362.50	510.88	139.00	681.00	153.00
2	1,168.97	2,964.71	1,261.47	929.26	2,725.00	1,021.76	278.00	1,362.00	306.00
3	1,651.73	4,345.34	1,790.48	1,393.89	4,087.50	1,532.64	417.00	2,043.00	459.00
4	2,134.49	5,725.97	2,319.49	1,858.52	5,450.00	2,043.52	556.00	2,724.00	612.00
5	2,617.25	7,106.60	2,848.50	2,323.15	6,812.50	2,554.40	695.00	3,405.00	765.00
6	3,100.01	8,487.23	3,377.51	2,787.78	8,175.00	3,065.28	834.00	4,086.00	918.00
7	3,582.77	9,867.86	3,906.52	3,252.41	9,537.50	3,576.16	973.00	4,767.00	1,071.00
8 or more	4,065.54	11,248.50	4,435.54	3,717.04	10,900.00	4,087.04	1,112.00	5,448.00	1,224.00

Add \$139.00/cr resident, \$681.00/cr non-resident, \$153.00/cr Minnesota
 Max of full-time off-campus

Organizational Compliance with the Higher Education Reauthorization Act

UWM maintains current copies of all documents required by the Higher Education Reauthorization Act including the following: Program Participation Agreement (PPA), Eligibility and Certification Renewal (ECAR), Fiscal Operations Reports and Applications to Participate (FISAP), and the Federal Nursing Student Loan Annual Operating Report.

In addition to the above mentioned documents, each year the Legislative Audit Bureau, a non-partisan legislative service agency, performs a state-wide audit (including UWM) to meet the requirements of the federal Single Audit Act of 1984, as amended, and the provisions of the Federal Office of Management and Budget Circular A-133. The link to their most recent report is at <http://www.legis.state.wi.us/lab/reports/04-2full.pdf>. There were no findings or exceptions specific to UWM in this, the most recent report. The section concerning the UW System starts on page 71.

Hard copies of all the above mentioned documents will be provided in the resource room.

Title IV

Title IV programs at the University of Wisconsin Milwaukee have not been subject to a federal program review since the last accreditation.

The student” financial aid cluster” referred to in the report is defined by OMB Circular A-133 as including those programs of general student

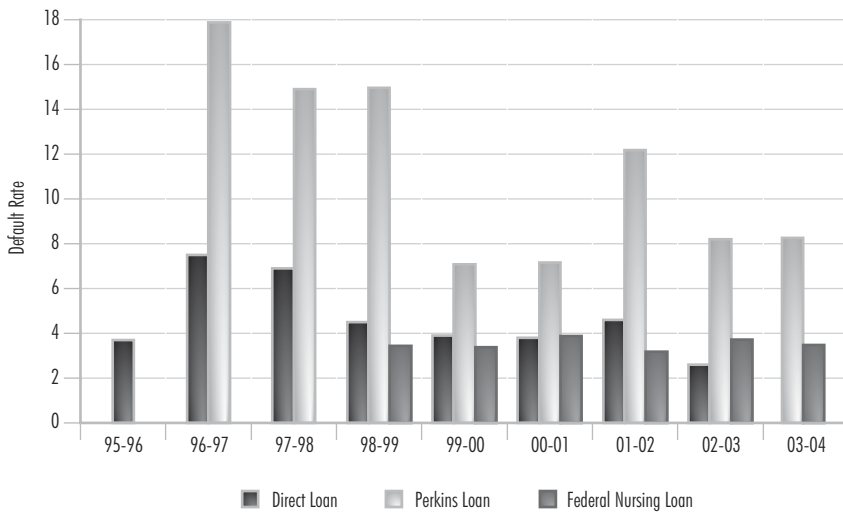
assistance in which UWM participates, such as those authorized by Title IV of the Higher Education Act of 1965. It does not include programs that provide fellowships or similar awards to students on a competitive basis.

Direct Loan Default Prevention or Reduction

The Associate Administrative Program Specialist in the Loan Unit of the Department of Financial Aid administers UWM’s default aversion program. The Specialist generates a “Late Stage Delinquency Assistance Report” monthly from the United States Department of Education’s Common Origination and Disbursement website,

located at <http://ifap.ed.gov/IFAPWebApp/currentCODPag.jsp>. This monthly report is used to monitor students who are currently 241-360 days delinquent. Each student on the report is sent a “Late Stage Letter.” The letter encourages the student to contact the Direct Loan Servicing Center and provides information on various resources for obtaining additional information. Subsequent action for direct loans that are more than 360 days delinquent is determined by the United States Department of Education.

Figure 44. Loan Default Rates



Note: Participation in the Direct Loan Program began in 1995-96. The 2002-03 official rate will not be available before the end of the fiscal year. The Federal Nursing Loan rates reflect undergraduate rates. Graduate rate has been zero since 1998.

Figure 44 shows the loan default rates.

Perkins and Federal Nursing Loan Default Prevention or Reduction

UWM contracts with an outside vendor to perform default aversion initiatives. Educational Computer Systems, Inc. (ECSI) out of Coraopolis, PA is UWM’s third-party billing agency. A complete description of what the vendor provides will be provided in hard copy in our resource room.

Other federally mandated Title IV reporting includes disclosure of campus crime and university graduation rates for student athletes compared to nonathletes.

The University of Wisconsin–Milwaukee adheres to the Clery Act of 1998 <http://www.cleryact.uwm.edu> and the Campus Sex Crimes

Prevention Act of 2000 in reporting and publishing crime statistics along with policies and procedures to be followed in the case of sex offenses and other crimes. Each year, the Office of Student Life, together with the UWM Police Department, compiles a crime statistics report that is sent to the federal government. In compliance with federal law, UWM also provides information about alcohol and drug abuse and the effects of same. This information is found on UWM's Office of Student Life's home page www.uwm.edu/Dept/OSL and is also published in UWM's Schedule of Classes. A hard copy may also be obtained by calling the Office of Student Life, Mellencamp Hall 118 at (414)220-4632.

The Office of Resource Analysis and Intercollegiate Athletics annually complete responses to the IPEDS Graduation Rates Survey and the National Collegiate Athletic Association (NCAA) Division I Graduation Rates Supplemental Form that provides information on graduation rates for student-athletes and all other students. The data are distributed by the Athletic Department to each prospective student-athlete per NCAA regulations. The information is also available through open records requests and on the following websites:

The UWM graduation and retention rates are available on the web at: www.uwm.edu/Dept/DES/REC/gradratedata.html.

Graduation rates and Academic Progress Rates for student-athletes are available at the NCAA website www.ncaa.org/gradrates/ and through the official UWM Panther website www.uwmpanthers.com.

Retention rates

Graduation rate data are posted on the Department of Enrollment Services web page at www.uwm.edu/Dept/DES/REC/gradratedata.html. Figure 45 shows UWM's Student Success Rate.

- Full time students are those who registered full time in their first semester at UWM.
- New Freshmen are students who enter UWM with no previous college (other than advanced placement and Youth Options).
- New Freshmen Standard Admits are new freshmen who were admitted to UWM because they met the standard admit criteria of high school units and either ACT or high school rank.
- New Transfers are students who have attended another college prior to enrolling at UWM.

A copy will also be available in our resource room.

Figure 45. Student Success Rate

Full Time Students	Entering Semester	Total Students	Status After Six Years				Six Year Success Rate	Graduated After 10 Years
			Graduated	Still Enrolled	Graduated + still enrolled	Left with GPA >= 2.0		
NEW FRESHMEN	Fall 1987	2,020	37.8%	12.0%	49.8%	28.5%	78.2%	47.1%
	Fall 1993	1,712	33.3%	10.0%	43.3%	27.9%	71.3%	41.0%
	Fall 1996	2,033	40.9%	8.7%	49.5%	24.5%	74.1%	
	Fall 1997	2,269	40.9%	8.3%	49.1%	27.4%	76.6%	
NEW FRESHMEN STANDARD ADMITS	Fall 1987	1,584	41.5%	12.2%	53.7%	28.2%	81.9%	51.3%
	Fall 1993	1,323	35.5%	9.5%	45.0%	28.0%	73.0%	43.0%
	Fall 1996	1,378	46.6%	8.9%	55.4%	24.6%	80.0%	
	Fall 1997	1,598	47.9%	7.8%	55.7%	26.2%	81.9%	
NEW TRANSFERS: FRESHMEN & SOPHOMORES	Fall 1987	716	41.8%	8.8%	50.6%	32.0%	82.5%	47.9%
	Fall 1993	696	42.0%	5.5%	47.4%	29.3%	76.7%	46.6%
	Fall 1996	702	49.0%	5.7%	54.7%	23.8%	78.5%	
	Fall 1997	759	48.5%	5.1%	53.6%	25.0%	78.7%	
NEW TRANSFERS: JUNIORS & SENIORS	Fall 1987	331	59.5%	2.7%	62.2%	27.5%	89.7%	61.9%
	Fall 1993	360	61.1%	1.7%	62.8%	25.6%	88.3%	63.3%
	Fall 1996	367	67.3%	1.1%	68.4%	20.4%	88.8%	
	Fall 1997	392	65.6%	1.8%	67.3%	23.0%	90.3%	

Federal Compliance Visits to Off-Campus Locations

The University of Wisconsin–Milwaukee has three programs that qualify as off-campus sites.

- 1 The School of Business Administration’s China eMBA received approval from the NCA in early October 2004 to deliver this program at Motorola Electronics Ltd., in Beijing, China. The NCA approved the program in 2004.
- 2 The UWM College Connection also known as the BAOA program (Bachelor of Arts with a major in Organizational Administration). BAOA was proposed in early 1998 in conjunction with the UW–Washington County and UW–Sheboygan campuses. NCA approval for this off-site program was granted in 1998. In 1999, NCA approved BAOA’s extension to include the UW–Rock County and UW–Waukesha campuses.

Currently the College Connection is at 10 UW–College campuses (Washington County, Sheboygan, Rock County,

Waukesha, Manitowoc, Marinette, Richland Center, Baraboo, Barron County, and Fond du Lac). At each of these locations, a signed agreement exists (or is in progress) among the Chancellors of UWM and the UW–Colleges as well as UW System administration. The College Connection is at both Milwaukee Area Technical College and Madison Area Technical College. (Currently it is being instituted at Nicolet Area Technical College.) At each of these locations, a signed agreement exists among the Chancellor of UWM and the WTCS and UW System administration.

- 3 The School of Business Administration’s M.B.A. program offered at UW Waukesha. This cohort, streamlined program is designed for working professionals; coursework is accelerated so that students complete the program in 16 months. The program was approved by UW System in 2003 and approved by NCA in that same year.

Advertising and Recruitment Materials

Advertising and recruitment materials that are currently in use regarding the University of Wisconsin–Milwaukee’s accreditation through the North Central Association do not include the Commission’s contact information. UWM is fully prepared to comply with this recent USDE requirement in all future publications and materials as they are prepared. Statement of affiliation will read:

The University of Wisconsin–Milwaukee is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools.

Commission URL: www.ncahigherlearningcommission.org

Commission Phone: (312) 263-0456

Professional Accreditation

UWM’s list of 26 professional accreditations is online at www.selfstudy.uwm.edu in “Appendix 2. Accredited Programs.”

Organizational Records of Student Complaints

Academic Appeals

Final authority for undergraduate academic appeals rests with the Dean of the school or college in which the course was taken. Processes vary by school and college, although all have explicit procedures for handling academic appeals. For graduate students, the Graduate School is the final arbiter of academic appeals.

Policies and procedures relating to graduate student appeals of academic decisions are outlined in the *Graduate Student and Faculty Handbook*, which is distributed in print form at the start of each academic year. A separate document, the *Graduate Student Academic Appeals and Exceptions Handbook*, provides a detailed description of the academic appeal process. The Graduate School maintains records of appeals; summary information will be available for viewing by the accreditation site visit team.

Appeals of academic decisions proceed through a three-step procedure beginning in the student's program or department and ending with the Dean of the Graduate School. A graduate student who chooses to appeal an academic decision (e.g., grades, academic dismissal, outcome of master's degree capstone requirement, outcome of doctoral preliminary exam) must observe the following sequence:

Step 1

The student appeals to the faculty member or faculty/staff body responsible for making the initial decision within 30 working days of the action that prompted the appeal. This appeal must be made in writing. The appeal should contain substantiating reasons for the appeal, a request for a specific remedy, and a rationale for the remedy sought. If the decision is negative and the student requests written notification, the faculty member or body must provide the student with a written statement of the reason for the adverse decision.

Step 2

If the Step 1 decision is not in the student's favor, the student may, within 10 working days from the date the Step 1 decision is communicated to the student, appeal to the body designated by the graduate faculty of the student's program to hear appeals. This appeal must be made in writing and should contain substantiating reasons for the appeal, a request for a specific remedy, and a rationale for the remedy sought. In the event that any of the members of the body hearing the Step 2 appeal were involved in rendering the Step 1 decision being appealed, they must be replaced for the purpose of hearing the Step 2 appeal. Substitute members will be chosen by the program using established program appeal procedures. If necessary, the Dean of the school or college in which the program is located may

be asked to appoint replacement members of the committee. If the Step 1 decision that is being appealed was handled by the committee for hearing appeals in the program, the Step 2 appeal should be made to the appropriate appeals committee of the school or college. If such a committee does not exist, the Dean of the school or college should appoint an ad hoc committee to handle the appeal. The student will receive written notification of the outcome of the Step 2 appeal.

Step 3

If the Step 2 decision is negative, the student may, within 10 working days from the date of notification of that decision, appeal to the Dean of the Graduate School. The student must provide information on the reason for the appeal, substantial evidence in support of the appeal, and the solution sought, and send this in writing to the Associate Dean for Academic Programs and Student Services.

The Associate Dean contacts the appropriate department or program and requests all pertinent documentation regarding the appeal. For this reason, departments and programs are urged to inform students that all appeals be in writing; to notify students in writing about department or program appeal procedures and the scheduled meeting of the appeal body; to maintain complete and legal minutes of the Step 2 appeal meeting (see Section II); and to inform the student in writing of the outcome of the appeal. To ensure that students receive correspondence regarding appeals, and to avoid claims of non-receipt, it is recommended that all materials be sent to the student by certified mail, return receipt requested.

The Associate Dean reviews the documentation and forwards the appeal with a recommendation to the Dean of the Graduate School.

The responsibility of the Dean of the Graduate School and Associate Provost for Research is to review an academic appeal for procedural fairness and to maintain and protect the rights of the graduate faculty. Within the limits set by faculty and administrative policy, members of the graduate faculty are presumed to be competent to make academic judgments when they act in good faith within the area of their academic expertise, provided their decisions are consistent with general policies established by the Graduate Faculty Council or its representative bodies. Subject to these limitations, the Dean will assume that actions taken by the graduate faculty of the program or department concerning course requirements, graduation requirements, and similar matters are final and binding on all parties concerned. Only if the Dean finds that the program or department did not follow proper procedures, or that the student did not have a fair hearing, or that there is evidence of unprofessional conduct on the part of the faculty which materially affected the academic decision, will the decision of the graduate faculty of the program or department be subject to reversal.

Following the Graduate School investigation, the result of the Step 3 appeal will be conveyed in writing to both the student and the unit.

Nonacademic Complaints

The Office of Student Life (OSL) has grievance procedures established for undergraduates to express dissatisfaction about an on campus issue or encounter that they have experienced. Students who wish to appeal grades will be referred to established grade appeal procedures in the appropriate school or college. The goal of the procedure is to encourage mediation and conciliation of the student's grievance whenever possible.

For purposes of this procedure, a grievance is a written complaint involving an alleged unfair or inappropriate treatment or violation of a UWM policy or procedure. The grievance must contain a clear and concise statement of the problem; state the relief sought; specify the date and circumstances; identify the person(s) involved and state the policies and/or procedures alleged to have been violated.

A grievance should be brought forward only by actual parties to the situation out of which the complaint emerges, and only during the semester in which the initiating incident(s) occurred. A grievance is submitted to the Dean of Students office. The Dean will then contact the student within seven calendar days after receipt of the complaint to schedule an appointment to discuss and review the complaint. At that meeting the Dean will discuss next steps and a possible time line with the student.

The OSL maintains a log book and hard copies of all materials for a period of two to five years depending on the complaint. This log book is available for viewing by the accreditation site visit team.