

**University of Wisconsin–Milwaukee**  
**RESEARCH POLICY AND ADVISORY COMMITTEE**  
**2016-17 Annual Report**  

**Members:**

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>College/Department</th>
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<tbody>
<tr>
<td>Janis Eells</td>
<td>FAC</td>
<td>Biomedical Sciences</td>
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<tr>
<td>Robin Mello</td>
<td>FAC</td>
<td>Theatre</td>
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<tr>
<td>Janet Padway</td>
<td>AS</td>
<td>Golda Meir Library</td>
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<td>Jorg Woehl</td>
<td>FAC</td>
<td>Chemistry &amp; Biochemistry</td>
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<td>Jennifer Doll</td>
<td>FAC</td>
<td>Biomedical Sciences</td>
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<tr>
<td>Rebecca Hall</td>
<td>AS</td>
<td>School of Information Studies</td>
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<td>Weiming Ke</td>
<td>AS</td>
<td>Nursing</td>
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<td>Ava Udvadia, <strong>Chair</strong></td>
<td>FAC</td>
<td>Biological Sciences</td>
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<tr>
<td>Madhusudan Dey</td>
<td>FAC</td>
<td>Biological Sciences</td>
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<td>Jenny Kehl</td>
<td>FAC</td>
<td>School of Freshwater Sciences</td>
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<td>FAC</td>
<td>(GFC Rep)</td>
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<tr>
<td>Marija Gajdardziska-Josifovska</td>
<td>Dean</td>
<td>Graduate School</td>
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<tr>
<td>Mark Harris</td>
<td>Int VP</td>
<td>Vice Provost for Research</td>
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<tr>
<td>Tom Marcussen</td>
<td>Dir</td>
<td>Office of Sponsored Programs</td>
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< > Yet to be appointed  
1 Repl K. O’Connell Valuch (2018-E)  
2. Repl R. Buff (2017-E)  
3 Repl C. Larson (2017-E)

**Charter:**  
**Functions/ Responsibilities:**

**A3.7 Research Policy and Advisory Committee**

(1) **Membership.** Twelve voting members as follows: six elected faculty members, at least one from each division; a representative of the Graduate Faculty Committee; one elected member of the Academic Staff who is engaged in research activities; two faculty members and two members of the academic staff appointed by the Chancellor.

In addition, the administrative director of sponsored research, the university executive officer in charge of research (e.g. Vice Provost for Research), and the university executive officer in charge of graduate education (e.g. Dean of the Graduate School) serve as ex-officio members.

(Document 2169, 12/17/98; UWM Administration approval, 12/30/98)
Functions

(a) On its own initiative, or in cooperation with other faculty committees, advises the Chancellor, the Provost, the Faculty Senate, and the university executive officer in charge of research (e.g. Vice Provost for Research), and the university executive officer in charge of graduate education (e.g. Dean of the Graduate School) on matters of research policy, procedures, and infrastructure to promote and advocate for the growth of research, graduate education and scholarship at UWM.

(b) Recommends overall allocation of resources for research at UWM, and reviews the operation of all university research units, in order to determine whether the programs and activities are both effective and consistent with the mission and research goals of the University.

(c) Recommends and reviews overall University policy governing all mandated review boards within UWM (e.g., Institutional Review Board for the Protection of Human Subjects, Institutional Safety and Hazards Committee, etc.)

Meetings:

September 14, 2016
October 12, 2016
November 8, 2016
January 25, 2017
February 21, 2017
March 27, 2017
April 26, 2017

The committee met seven times during the 2016-2017 academic year to consider research policies and discuss topics related to our advisory role to “promote and advocate for the growth of research, graduate education and scholarship at UWM”.

The committee considered, discussed, and approved the following research policies:

- Sponsored program fixed price contract close out policy
  - approved by all campus senates/councils, and the Chancellor
  - now SAAP 74
- Administration of shared research facilities policy
We were also updated on the status of various research policies by the Interim Vice Provost for Research, Associate Vice Provost for Research, and the Director of The Office of Sponsored Programs, and members of their staff, including:

- **Effort reporting**
  - Previously high risk exposure due to low completion of reports
  - Changes in federal policy, changes to the reporting cycle and active management of reporting together improved the compliance rate to 89% as of October 2016

- **Conflict of interest reporting**
  - Two COI policies requiring separate reporting by faculty
    - financial conflicts of interest in Public Health Service-funded research
    - conflict of interest for researchers and scholars more generally
  - currently working towards a new policy that will have a single reporting structure that will make us compliant with both PHS and general COI mandates

- **UW System Patent Policy**
  - Recent changes in policy, mostly editorial/organizational in nature

- **Export control**

As part of the new policy on reviewing Research Centers/Institutes, RPAC members have started participating in the reviews. Thus far, reviews were conducted on the Great Lakes Genomics Center, Center for Aging and Translational Research, and the Center for Gravitation, Cosmology, and Astrophysics.

In its advisory role, the committee discussed the following matters:

- **Resolving research issues related to the annual steam heat shutdown, especially as they affect research animal care**
  - Long-term solution requires capital building project to convert to a loop system – committee recommends prioritizing this at the next available opportunity
  - Near-term solutions for mitigating negative impacts arrived at by including administrators, engineers, animal users, and building managers in a problem-solving discussion
    - Dissociated chilled water shutdown and steam shutdown
    - Assess needs for animal rooms in terms of heating and cooling in case of weather extremes
    - Instituting better coordination between Physical Plant and Animal Care Facilities
    - Incorporate information from UWM Innovative Weather to predict the needs for back up heating or cooling solutions

- **Results of a survey of research facilities**
  - Major equipment list
  - Office of Research will create a list of equipment that is open for interdepartmental use, and post it on their website

- **Recommendations for research investments coming from the Panther Research Excellence Team**

- **Report on various university ranking systems**

- **Research metrics and maintaining UWM’s R1 status.**

- **R1 communication plan presented by the Director of Media Services.**
• Digital Measures – how to improve faculty compliance
  o reduce data entry burden on faculty
  o improve communication with faculty on
    ▪ how the information is being used
    ▪ how the information helps departmental, college and University mission
• Workload policies
  o Evaluate current and any proposed changes to workload policy with regard to the impact on research
  o Consider examples from other Research Universities to gain insight on how to better value research activities as an essential component of our educational mission
  o Consider how University of Texas model has been implemented among different UT system campuses and different units within their campuses as a model for flexibilities and for valuing activities outside of classroom teaching
  o Ensure concordance between workload policy and expectations on tenure and post-tenure reviews